

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity: Aarti Surfactants Limited
2. Scrip Code/Name of Scrip/Class of Security: BSE Code: 543210; NSE Code: AARTISURF
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

- a. If under 31(1)(b) then indicate the report for Quarter ending 30/06/2021
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Aarti Surfactants Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	19	3586133	0	0	3586133	47.2825	3586133	0	3586133	47.2825	0	47.2825	466707	13.0142	0	0	3586133
(B)	Public	34939	3998344	0	0	3998344	52.7175	3998344	0	3998344	52.7175	0	52.7175	0	0	NA	NA	3920954
(C)	Non Promoter - Non Public					0			0			0		0	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	0
	Total	34958	7584477	0	0	7584477	100	7584477	0	7584477	100	0	100	466707	6.1534	0	0	7507087

Aarti Surfactants Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form			
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)		
								Class eg: X	Class eg: y	Total										
								(I)	(II)	(III)				(IV)	(V)	(VI)		(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)
1	Indian																			
(a)	Individuals / Hindu Undivided Family		12	2425396	0	0	2425396	31.9784	2425396	0	2425396	31.9784	0	31.9784	40333	1.6629	0	0	0	2425396
	Jaya Chandrakant Gogri	AACPG2276L	1	1210708	0	0	1210708	15.963	1210708	0	1210708	15.963	0	15.963	0	0	0	0	0	1210708
	Rashesh Chandrakant Gogri	AACPG0778M	1	383438	0	0	383438	5.0556	383438	0	383438	5.0556	0	5.0556	0	0	0	0	0	383438
	Hetal Gogri Gala	AABPG1598G	1	261553	0	0	261553	3.4485	261553	0	261553	3.4485	0	3.4485	0	0	0	0	0	261553
	Parimal Hasnukhlal Desai	AABPD4102D	1	106646	0	0	106646	1.4061	106646	0	106646	1.4061	0	1.4061	0	0	0	0	0	106646
	Chandrakant Vallabhaji Gogri	AAFPG8847C	1	100302	0	0	100302	1.3225	100302	0	100302	1.3225	0	1.3225	0	0	0	0	0	100302
	Tarla Parimal Desai	AAVPD9802E	1	100000	0	0	100000	1.3185	100000	0	100000	1.3185	0	1.3185	0	0	0	0	0	100000
	Nikhil Parimal Desai	AAGPD1564Q	1	80333	0	0	80333	1.0592	80333	0	80333	1.0592	0	1.0592	40333	50.2073	0	0	0	80333
	Manisha Rashesh Gogri	ACHPG2007Q	1	55000	0	0	55000	0.7252	55000	0	55000	0.7252	0	0.7252	0	0	0	0	0	55000
	Aarnav Rashesh Gogri	AJZPG5229P	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0.7252	0	0	0	0	0	54999
	Aashay Rashesh Gogri	AJZPG5230G	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0.7252	0	0	0	0	0	54999
	Indira Madan Dedhia	AAAPD9958L	1	17380	0	0	17380	0.2292	17380	0	17380	0.2292	0	0.2292	0	0	0	0	0	17380
	Pooja Renil Gogri	AJFPG2475H	1	38	0	0	38	0.0005	38	0	38	0.0005	0	0.0005	0	0	0	0	0	38
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		6	1147665	0	0	1147665	15.1318	1147665	0	1147665	15.1318	0	15.1318	426374	37.1514	0	0	0	1147665
	Promoter Trust		1	250000	0	0	250000	3.2962	250000	0	250000	3.2962	0	3.2962	0	0	0	0	0	250000
	LABDHI BUSINESS TRUST	AABTL5136H	1	250000	0	0	250000	3.2962	250000	0	250000	3.2962	0	3.2962	0	0	0	0	0	250000
	Bodies Corporate		5	897665	0	0	897665	11.8356	897665	0	897665	11.8356	0	11.8356	426374	47.4981	0	0	0	897665
	Nikhil Holdings Private Limited	AABCN5189M	1	553874	0	0	553874	7.3027	553874	0	553874	7.3027	0	7.3027	426374	76.9803	0	0	0	553874
	Anushakti Enterprise Private Limited	AAFCK1104P	1	249250	0	0	249250	3.2863	249250	0	249250	3.2863	0	3.2863	0	0	0	0	0	249250
	Alchemie Financial Services Limited	AAACA0948C	1	67300	0	0	67300	0.8873	67300	0	67300	0.8873	0	0.8873	0	0	0	0	0	67300
	Gogri Finserv Pvt. Ltd.	AAFCG2850E	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0.3482	0	0	0	0	0	26410
	Dilesh Roadlines Pvt Ltd	AAACP2139G	1	831	0	0	831	0.011	831	0	831	0.011	0	0.011	0	0	0	0	0	831
	Sub Total (A)(1)		18	3573061	0	0	3573061	47.1102	3573061	0	3573061	47.1102	0	47.1102	466707	13.0618	0	0	0	3573061
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	0	13072
	Bhanu Pradip Savla	BILPS7725J	1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	0	13072
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	0	13072
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		19	3586133	0	0	3586133	47.2825	3586133	0	3586133	47.2825	0	47.2825	466707	13.0142	0	0	0	3586133

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
1	Institutions																		
(a)	Mutual Fund		2	27767	0	0	27767	0.3661	27767	0	27767	0.3661	0	0.3661	0	0	NA	NA	27767
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds		2	742	0	0	742	0.0098	742	0	742	0.0098	0	0.0098	0	0	NA	NA	742
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor		12	117785	0	0	117785	1.553	117785	0	117785	1.553	0	1.553	0	0	NA	NA	117785
(f)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(g)	Insurance Companies		1	150	0	0	150	0.002	150	0	150	0.002	0	0.002	0	0	NA	NA	150
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)		17	146444	0	0	146444	1.9308	146444	0	146444	1.9308	0	1.9308	0	0	NA	NA	146444
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		33088	2236693	0	0	2236693	29.4904	2236693	0	2236693	29.4904	0	29.4904	0	0	NA	NA	2159396
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		20	1008134	0	0	1008134	13.2921	1008134	0	1008134	13.2921	0	13.2921	0	0	NA	NA	1008134
	Dhiren Kishore Shah	ALNPS9879F		121075	0	0	121075	1.5964	121075	0	121075	1.5964	0	1.5964	0	0	NA	NA	121075
	Mulraj Chandulal Gala	AACPG5821H		101756	0	0	101756	1.3416	101756	0	101756	1.3416	0	1.3416	0	0	NA	NA	101756
	Nityanand Sonthalia	ALDPS5358M		83671	0	0	83671	1.1032	83671	0	83671	1.1032	0	1.1032	0	0	NA	NA	83671
	Tarla Kishorekumar Shah	AFXPS7943C		83575	0	0	83575	1.1019	83575	0	83575	1.1019	0	1.1019	0	0	NA	NA	83575
(b)	NBFCs registered with RBI		2	4	0	0	4	0.0001	4	0	4	0.0001	0	0.0001	0	0	NA	NA	4
	Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Any Other (Specify)		1812	607069	0	0	607069	8.0041	607069	0	607069	8.0041	0	8.0041	0	0	NA	NA	606976
	IEPF		1	29914	0	0	29914	0.3944	29914	0	29914	0.3944	0	0.3944	0	0	NA	NA	29914
	Trusts		2	11	0	0	11	0.0001	11	0	11	0.0001	0	0.0001	0	0	NA	NA	10
	Hindu Undivided Family		717	90503	0	0	90503	1.1933	90503	0	90503	1.1933	0	1.1933	0	0	NA	NA	90492
	Non Resident Indians (Non Repat)		227	33200	0	0	33200	0.4377	33200	0	33200	0.4377	0	0.4377	0	0	NA	NA	33140
	Non Resident Indians (Repat)		451	27229	0	0	27229	0.359	27229	0	27229	0.359	0	0.359	0	0	NA	NA	27229
	Body Corporate-Ltd Liability-Partnership-DR		21	297703	0	0	297703	3.9252	297703	0	297703	3.9252	0	3.9252	0	0	NA	NA	297703
	Padmika Projects Llp	AAYFP9836B	1	246037	0	0	246037	3.244	246037	0	246037	3.244	0	3.244	0	0	NA	NA	246037
	Foreign Portfolio Investor (Individual)		1	740	0	0	740	0.0098	740	0	740	0.0098	0	0.0098	0	0	NA	NA	740
	Clearing Member		136	23039	0	0	23039	0.3038	23039	0	23039	0.3038	0	0.3038	0	0	NA	NA	23039
	Bodies Corporate		256	104730	0	0	104730	1.3808	104730	0	104730	1.3808	0	1.3808	0	0	NA	NA	104709
	Sub Total (B)(3)		34922	3851900	0	0	3851900	50.7866	3851900	0	3851900	50.7866	0	50.7866	0	0	NA	NA	3774510
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		34939	3998344	0	0	3998344	52.7175	3998344	0	3998344	52.7175	0	52.7175	0	0	NA	NA	3920954

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
NA	NA

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)				
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= C(1)+(C)2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.