

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Aarti Surfactants Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 543210	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 30/09/2020
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Aarti Surfactants Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	23	3690817	0	0	3690817	48.6628	3690817	0	3690817	48.6628	0	48.6628	0	0	0	0	3690817
(B)	Public	31341	3893660	0	0	3893660	51.3372	3893660	0	3893660	51.3372	0	51.3372	0	0	NA	NA	3809343
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	31364	7584477	0	0	7584477	100	7584477	0	7584477	100	0	100	0	0	0	0	7500160

Aarti Surfactants Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
								(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)								
1	Indian																	
(a)	Individuals / Hindu Undivided Family		13	2632089	0	0	2632089	34.7036	2632089	0	2632089	34.7036	0	0	0	0	0	2632089
	Jaya Chandrakant Gogri	AACPG2276L	1	1339306	0	0	1339306	17.6585	1339306	0	1339306	17.6585	0	0	0	0	0	1339306
	Rashesh Chandrakant Gogri	AACPG0778M	1	383438	0	0	383438	5.0556	383438	0	383438	5.0556	0	0	0	0	0	383438
	Hetal Gogri Gala	AABPG1598G	1	261553	0	0	261553	3.4485	261553	0	261553	3.4485	0	0	0	0	0	261553
	Chandrakant Vallabhaji Gogri	AAFPG8847C	1	185302	0	0	185302	2.4432	185302	0	185302	2.4432	0	0	0	0	0	185302
	Rajendra Vallabhaji Gogri	AACPG2278E	1	155489	0	0	155489	2.0501	155489	0	155489	2.0501	0	0	0	0	0	155489
	Manisha Rashesh Gogri	ACHPG2007Q	1	55000	0	0	55000	0.7252	55000	0	55000	0.7252	0	0	0	0	0	55000
	Aarnav Rashesh Gogri	AJZPG5229P	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0	0	0	0	54999
	Aashay Rashesh Gogri	AJZPG5230G	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0	0	0	0	54999
	Arti Rajendra Gogri	AACPG1553E	1	53425	0	0	53425	0.7044	53425	0	53425	0.7044	0	0	0	0	0	53425
	Nikhil Parimal Desai	AAGPD1564Q	1	40333	0	0	40333	0.5318	40333	0	40333	0.5318	0	0	0	0	0	40333
	Rajendra Vallabhaji Gogri (Huf)	AAHR1009N	1	30827	0	0	30827	0.4064	30827	0	30827	0.4064	0	0	0	0	0	30827
	Indira Madan Dedhia	AAAPD9958L	1	17380	0	0	17380	0.2292	17380	0	17380	0.2292	0	0	0	0	0	17380
	Pooja Renil Gogri	AJFPG2475H	1	38	0	0	38	0.0005	38	0	38	0.0005	0	0	0	0	0	38
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		9	1045656	0	0	1045656	13.7868	1045656	0	1045656	13.7868	0	0	0	0	0	1045656
	Promoter Trust		3	529155	0	0	529155	6.9768	529155	0	529155	6.9768	0	0	0	0	0	529155
	Labdhi Business Trust	AABTL5136H	1	250000	0	0	250000	3.2962	250000	0	250000	3.2962	0	0	0	0	0	250000
	Orchid Fanuky Trust	AAATO7555N	1	139682	0	0	139682	1.8417	139682	0	139682	1.8417	0	0	0	0	0	139682
	Tulip Family Trust	AADTT5410E	1	139473	0	0	139473	1.8389	139473	0	139473	1.8389	0	0	0	0	0	139473
	Bodies Corporate		6	516501	0	0	516501	6.81	516501	0	516501	6.81	0	0	0	0	0	516501
	Anushakti Enterprise Private Limited	AAFCK1104P	1	249250	0	0	249250	3.2863	249250	0	249250	3.2863	0	0	0	0	0	249250
	Safechem Enterprises Private Limited	AABCI2424A	1	146300	0	0	146300	1.9289	146300	0	146300	1.9289	0	0	0	0	0	146300
	Alchemie Financial Services Limited	AAACA0948C	1	67300	0	0	67300	0.8873	67300	0	67300	0.8873	0	0	0	0	0	67300
	Alchemie Finserv Pvt. Ltd.	AALCA8688G	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0	0	0	0	26410
	Gogri Finserv Pvt. Ltd.	AAFPG2850E	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0	0	0	0	26410
	Dilesh Roadlines Pvt Ltd	AAACP2139G	1	831	0	0	831	0.011	831	0	831	0.011	0	0	0	0	0	831
	Sub Total (A)(1)		22	3677745	0	0	3677745	48.4904	3677745	0	3677745	48.4904	0	0	0	0	0	3677745
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0	0	0	0	13072
	Bhanu Pradip Savla	BILPS7725J	1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0	0	0	0	13072

(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	13072
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		23	3690817	0	0	3690817	48.6628	3690817	0	3690817	48.6628	0	48.6628	0	0	0	0	3690817

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :
(1) PAN would not be displayed on website of Stock Exchange(s)
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Aarti Surfactants Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Institutions																		
(a)	Mutual Fund		5	60391	0	0	60391	0.7962	60391	0	60391	0.7962	0	0	0	NA	NA	60391	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(c)	Alternate Investment Funds		3	1574	0	0	1574	0.0208	1574	0	1574	0.0208	0	0	0	NA	NA	1574	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(e)	Foreign Portfolio Investor		28	154581	0	0	154581	2.0381	154581	0	154581	2.0381	0	0	0	NA	NA	154581	
(f)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(g)	Insurance Companies		5	37975	0	0	37975	0.5007	37975	0	37975	0.5007	0	0	0	NA	NA	37975	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
	Sub Total (B)(1)		41	254521	0	0	254521	3.3558	254521	0	254521	3.3558	0	0	0	NA	NA	254521	
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0									0		NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		29437	2064493	0	0	2064493	27.22	2064493	0	2064493	27.22	0	0	0	NA	NA	1980457	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		17	837434	0	0	837434	11.0414	837434	0	837434	11.0414	0	0	0	NA	NA	837434	
	Dhiren Kishore Shah	ALNPS9879F		121000	0	0	121000	1.5954	121000	0	121000	1.5954	0	0	0	NA	NA	121000	
	Mulraj Chandulal Gala	AACPG5821H		101756	0	0	101756	1.3416	101756	0	101756	1.3416	0	0	0	NA	NA	101756	
	Tarla Kishorekumar Shah	AFXPS7943C		83575	0	0	83575	1.1019	83575	0	83575	1.1019	0	0	0	NA	NA	83575	
(b)	NBFCs registered with RBI		2	4	0	0	4	0.0001	4	0	4	0.0001	0	0	0	NA	NA	4	
	Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(e)	Any Other (Specify)		1844	737208	0	0	737208	9.72	737208	0	737208	9.72	0	0	0	NA	NA	736927	
	IEPF		1	29914	0	0	29914	0.3944	29914	0	29914	0.3944	0	0	0	NA	NA	29914	
	Trusts		2	11	0	0	11	0.0001	11	0	11	0.0001	0	0	0	NA	NA	10	
	Hindu Undivided Family		670	184354	0	0	184354	2.4307	184354	0	184354	2.4307	0	0	0	NA	NA	184343	
	Haresh Kunvarji Chheda (Huf)	AABHH2505Q	1	105257	0	0	105257	1.3878	105257	0	105257	1.3878	0	0	0	NA	NA	105257	
	Non Resident Indians (Non Repat)		233	33392	0	0	33392	0.4403	33392	0	33392	0.4403	0	0	0	NA	NA	33332	
	Non Resident Indians (Repat)		466	20337	0	0	20337	0.2681	20337	0	20337	0.2681	0	0	0	NA	NA	20329	

Foreign Portfolio Investor (Individual)		1	990	0	0	990	0.0131	990	0	990	0.0131	0	0.0131	0	0	NA	NA	990
Clearing Member		135	49305	0	0	49305	0.6501	49305	0	49305	0.6501	0	0.6501	0	0	NA	NA	49305
Bodies Corporate		336	418905	0	0	418905	5.5232	418905	0	418905	5.5232	0	5.5232	0	0	NA	NA	418704
Padmika Projects Limited	AAHCP6457A	1	176691	0	0	176691	2.3296	176691	0	176691	2.3296	0	2.3296	0	0	NA	NA	176691
Vijit Asset Management Pvt. Ltd.	AACCR5344R	1	86012	0	0	86012	1.1341	86012	0	86012	1.1341	0	1.1341	0	0	NA	NA	86012
Sub Total (B)(3)		31300	3639139	0	0	3639139	47.9814	3639139	0	3639139	47.9814	0	47.9814	0	0	NA	NA	3554822
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		31341	3893660	0	0	3893660	51.3372	3893660	0	3893660	51.3372	0	51.3372	0	0	NA	NA	3809343

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Aarti Surfactants Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.