



## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Aarti Surfactants Limited**
2. Quarter ending - **30-Sep-2022**

#### i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Mulshah M. Savla	07474847	AA CP S0 621 F	ID,C & NED		13-Jun-2019	21-Oct-2019		36	12-Nov-1964	No				Active	NA		2	2	4	1	AC,SC, RC,NRC	
Mr.	Nikhil P. Desai	01660649	AA GP D1 564 Q	ED	MD	18-Jun-2018	05-Aug-2022			19-May-1978	No				Active	NA		1	0	1	0	SC,RC	



Mr.	Chan draka nt V. Gogri	00005 048	AA FP G8 847 C	NED		18- Jun- 2018	05- Aug - 202 2			16- Aug - 194 6	No				Active	Yes	10- Aug- 2021	1	0	1	0	AC,RC, NRC
Mr.	Dattat ray S. Galpa lli	01853 463	AC MP G7 416 L	NED		18- Jun- 2018	10- Aug - 202 1			20- Sep - 195 9	No				Active	NA		1	0	1	1	SC
Ms.	Misha B. Gala	08523 865	AQ RP G3 363 P	ID		20- Aug- 2019	21- Oct- 201 9		36	06- Apr - 199 0	No				Active	NA		1	1	1	0	AC,NR C
Mr.	Santo sh M. Kaka de	08505 234	BZ QP K6 234 E	ED		20- Aug- 2019	05- Aug - 202 2			05- Feb - 197 1	No				Active	NA		1	0	0	0	RMC

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No



## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Mulesh M. Savla	ID,C & NED	Chairperson	20-Aug-2019	
2	Chandrakant V. Gogri	NED	Member	20-Aug-2019	
3	Misha B. Gala	ID	Member	20-Aug-2019	

Company Remarks	
Whether Regular chairperson appointed	Yes

### b. Stakeholders Relationship Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Dattatray S. Galpalli	NED	Chairperson	20-Aug-2019	
2	Nikhil P. Desai	ED	Member	20-Aug-2019	
3	Mulesh M. Savla	ID,C & NED	Member	20-Aug-2019	

Company Remarks	
Whether Regular chairperson appointed	Yes

### c. Risk Management Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Nikhil P. Desai	ED	Chairperson	21-May-2021	
2	Mulesh M. Savla	ID,C & NED	Member	21-May-2021	
3	Santosh M. Kakade	ED	Member	21-May-2021	
4	Chandrakant V. Gogri	NED	Member	21-May-2021	



5	Nitesh H. Medh	Chief Financial Officer	Member	21-May-2021	
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Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Misha B. Gala	ID	Chairperson	13-Jul-2020	
2	Mulesh M. Savla	ID,C & NED	Member	20-Aug-2019	
3	Chandrakant V. Gogri	NED	Member	20-Aug-2019	

Company Remarks	
Whether Regular chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
26-May-2022	Yes	6	6	2
04-Aug-2022	Yes	6	4	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	69



iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	26-May-2022	Yes	3	3	2	0
Audit Committee	04-Aug-2022	Yes	3	2	2	0
Nomination & Remuneration Committee	26-May-2022	Yes	3	3	2	0
Nomination & Remuneration Committee	04-Aug-2022	Yes	3	2	2	0
Risk Management Committee	04-Aug-2022	Yes	5	4	1	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	69

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	



Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
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Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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#### VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Priyanka Chaurasia  
Designation : Company Secretary & Compliance Officer



### ANNEXURE III

#### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	Since Mr. Dattatray S. Galpalli (Chairperson of the Stakeholder Relationship Committee) was travelling abroad, he couldn't attend the Annual General Meeting.
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : Priyanka Chaurasia  
**Designation** : Company Secretary & Compliance Officer



**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0





<i>KMPs or any other entity controlled by them</i>	0	0	0
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**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**



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<b>Affirmations</b>	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
<b>Company Remarks in case of non-compliant status</b>	

<p><b>Name:</b> Nitesh Harakchand Medh <b>Designation:</b> Chief Financial Officer <b>Place:</b> Mumbai <b>Date:</b> 20-Oct-2022</p>
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