

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Aarti Surfactants Limited
2.	Scrip Code/Name of Scrip/Class of Security: 543210
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31-12-2020
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Aarti Surfactants Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	17	3691987	0	0	3691987	48.6782	3691987	0	3691987	48.6782	0	48.6782	466707	12.641	0	0	3691987
(B)	Public	29808	3892490	0	0	3892490	51.3218	3892490	0	3892490	51.3218	0	51.3218	0	0	NA	NA	3809498
(C)	Non Promoter - Non Public				0				0			0		0	0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	29825	7584477	0	0	7584477	100	7584477	0	7584477	100	0	100	466707	6.1534	0	0	7501485

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
Labdhi Business Trust	1	250000	0	0	250000	3.2962	250000	0	250000	3.2962	0	3.2962	0	0	0	0	250000
Bodies Corporate	5	770165	0	0	770165	10.1545	770165	0	770165	10.1545	0	10.1545	426374	55.3614	0	0	770165
Nikhil Holdings Private Limited	1	426374	0	0	426374	5.6217	426374	0	426374	5.6217	0	5.6217	426374	100	0	0	426374
Anushakti Enterprise Private Limited	1	249250	0	0	249250	3.2863	249250	0	249250	3.2863	0	3.2863	0	0	0	0	249250
Alchemie Financial Services Limited	1	67300	0	0	67300	0.8873	67300	0	67300	0.8873	0	0.8873	0	0	0	0	67300
Gogri Finserv Pvt. Ltd.	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0.3482	0	0	0	0	26410
Dilesh Roadlines Pvt Ltd	1	831	0	0	831	0.011	831	0	831	0.011	0	0.011	0	0	0	0	831
Valiant Organics Limited	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(1)	16	3678915	0	0	3678915	48.5058	3678915	0	3678915	48.5058	0	48.5058	466707	12.686	0	0	3678915
2 Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	13072
Bhanu Pradip Savla	1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	13072
(b) Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)	1	13072	0	0	13072	0.1724	13072	0	13072	0.1724	0	0.1724	0	0	0	0	13072
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	17	3691987	0	0	3691987	48.6782	3691987	0	3691987	48.6782	0	48.6782	466707	12.6411	0	0	3691987

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Aarti Surfactants Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)
1	Institutions																	
(a)	Mutual Fund	3	14859	0	0	14859	0.1959	14859	0	14859	0.1959	0	0.1959	0	0	NA	NA	14859
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds	3	1306	0	0	1306	0.0172	1306	0	1306	0.0172	0	0.0172	0	0	NA	NA	1306
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	14	120218	0	0	120218	1.5851	120218	0	120218	1.5851	0	1.5851	0	0	NA	NA	120218
(f)	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(g)	Insurance Companies	3	31806	0	0	31806	0.4194	31806	0	31806	0.4194	0	0.4194	0	0	NA	NA	31806
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)	23	168189	0	0	168189	2.2175	168189	0	168189	2.2175	0	2.2175	0	0	NA	NA	168189
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0										0	NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	28024	2070206	0	0	2070206	27.2953	2070206	0	2070206	27.2953	0	27.2953	0	0	NA	NA	1987495
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	921675	0	0	921675	12.1521	921675	0	921675	12.1521	0	12.1521	0	0	NA	NA	921675
	Dhiren Kishore Shah		121000	0	0	121000	1.5954	121000	0	121000	1.5954	0	1.5954	0	0	NA	NA	121000
	Mulraj Chandulal Gala		101756	0	0	101756	1.3416	101756	0	101756	1.3416	0	1.3416	0	0	NA	NA	101756
	Tarla Kishorekumar Shah		83575	0	0	83575	1.1019	83575	0	83575	1.1019	0	1.1019	0	0	NA	NA	83575
(b)	NBFCs registered with RBI	2	4	0	0	4	0.0001	4	0	4	0.0001	0	0.0001	0	0	NA	NA	4
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Any Other (Specify)	1740	732416	0	0	732416	9.6568	732416	0	732416	9.6568	0	9.6568	0	0	NA	NA	732135
	IEPF	1	29914	0	0	29914	0.3944	29914	0	29914	0.3944	0	0.3944	0	0	NA	NA	29914
	Trusts	2	11	0	0	11	0.0001	11	0	11	0.0001	0	0.0001	0	0	NA	NA	10
	Hindu Undivided Family	662	212107	0	0	212107	2.7966	212107	0	212107	2.7966	0	2.7966	0	0	NA	NA	212096
	Haresh Kunvarji Chheda (Huf)	1	127723	0	0	127723	1.684	127723	0	127723	1.684	0	1.684	0	0	NA	NA	127723
	Non Resident Indians (Non Repat)	227	39394	0	0	39394	0.5194	39394	0	39394	0.5194	0	0.5194	0	0	NA	NA	39334
	Non Resident Indians (Repat)	431	20786	0	0	20786	0.2741	20786	0	20786	0.2741	0	0.2741	0	0	NA	NA	20778
	Foreign Portfolio Investor (Individual)	1	990	0	0	990	0.0131	990	0	990	0.0131	0	0.0131	0	0	NA	NA	990

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)
Clearing Member	127	34042	0	0	34042	0.4488	34042	0	34042	0.4488	0	0.4488	0	0	NA	NA	34042
Bodies Corporate	289	395172	0	0	395172	5.2103	395172	0	395172	5.2103	0	5.2103	0	0	NA	NA	394971
Padmika Projects Limited	1	246037	0	0	246037	3.244	246037	0	246037	3.244	0	3.244	0	0	NA	NA	246037
Sub Total (B)(3)	29785	3724301	0	0	3724301	49.1043	3724301	0	3724301	49.1043	0	49.1043	0	0	NA	NA	3641309
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	29808	3892490	0	0	3892490	51.3218	3892490	0	3892490	51.3218	0	51.3218	0	0	NA	NA	3809498

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.